

NHS DORSET CLINICAL COMMISSIONING GROUP
GOVERNING BODY MEETING
ASSURANCE FRAMEWORK REPORT

Date of the meeting	18/09/2019
Author	S Hawkins, Patient Safety and Risk Manager
Sponsoring Board Member	Dr S Yule, Locality Lead for North Dorset
Purpose of Report	The report provides the Governing Body Assurance Framework for members to consider.
Recommendation	The Governing Body is asked to note the assurance framework contents.
Stakeholder Engagement	The Assurance Framework is maintained with the involvement of all Directorates. Oversight is provided by Lay Members of the Governing Body and Sub-Committees/Working Groups reporting to the Audit & Quality Committee.
Previous GB / Committee/s, Dates	N/A

Monitoring and Assurance Summary

This report links to the following Strategic Objectives	<ul style="list-style-type: none"> • Prevention at Scale • Integrated Community and Primary Care Services • One Acute Network • Digitally Enabled Dorset • Leading and Working Differently 		
	Yes [e.g. ✓]	Any action required?	
		Yes Detail in report	No
All three Domains of Quality (Safety, Quality, Patient Experience)	✓		✓
Board Assurance Framework Risk Register	✓	✓	
Budgetary Impact	✓		✓
Legal/Regulatory	✓		✓
People/Staff	✓		✓
Financial/Value for Money/Sustainability	✓		✓
Information Management & Technology	✓		✓
Equality Impact Assessment	✓		✓
Freedom of Information	✓		✓
I confirm that I have considered the implications of this report on each of the matters above, as indicated	✓		

Initials: SH

1. Introduction

- 1.1 The framework provides assurance to the Governing Body of the controls that are in place to mitigate the key risks that could impact on the CCG's delivery of its strategic objectives.
- 1.2 This report provides the current version (Appendix 1) of the Governing Body Assurance Framework (GBAF) for information.
- 1.3 The Directors' Performance meeting has operational responsibility for maintaining the Governing Body Assurance Framework (GBAF) with support from the Patient Safety and Risk team.

2. Gaps in Controls and Assurances

- 2.1 No gaps in assurance are currently identified in the Assurance Framework as at 14 August 2019 and no gaps have been identified since the previous report.
- 2.2 Changes will be made to the GBAF in due course to reflect the changes to the governance structure, the change from the 'Audit and Quality Committee' to the 'Audit Committee' and the decision to cease the Clinical Commissioning Committee meeting. The Patient Safety and Risk Manager remains in contact with the CCG Corporate Office regarding the plans for the change in responsibilities of the key governance meetings and these changes will be reflected in the GBAF once agreed.

3. Strategic risk scoring

- 3.1 In February 2019 the strategic risks of the CCG were scored for the first time following an audit recommendation in 2017/18. The risks were scored using the NPSA risk matrix approach and the scoring is now evident on the GBAF.
- 3.2 The assessment of the strategic risk score for Q1 2019/20 has now been added to the GBAF. The scores have not changed since Q3 2018-19. This will be repeated in October 2019 for Q2 2019/20.

4. Six monthly assurance

- 4.1 A recommendation of the 2016-17 risk and assurance audit was to implement a mechanism by which the Chairs of the key committees can give assurance that they are obtaining the assurances required in accordance with the Terms of Reference and strategic objectives applicable for that committee.
- 4.2 Returns, covering the six months October 2018 to March 2019, have been received from all Committee Chairs and are included in Appendix 2.

5. Recommendation

- 5.1 The Governing Body is asked to **note** the Governing Body Assurance Framework format and contents.

Author's name and title: S Hawkins, Patient Safety and Risk Manager
Date: 14 August 2019
Telephone Number: 01305 368047

APPENDICES	
Appendix 1	CCG Governing Body Assurance Framework
Appendix 2	Chair Assurance (Oct 18- Mar 19)