

NHS DORSET CLINICAL COMMISSIONING GROUP
GOVERNING BODY MEETING
ASSURANCE FRAMEWORK REPORT

Date of the meeting	18/01/2017
Author	A Dale, Patient Safety and Risk Manager
Sponsoring Board Member	Dr S Yule, Locality Chair for North Dorset
Purpose of Report	The report provides the Governing Body Assurance Framework for members to consider.
Recommendation	The Governing Body is asked to note the assurance framework contents
Stakeholder Engagement	The Assurance Framework is maintained with the involvement of all Directorates. Oversight is provided by Lay Members of the Governing Body and Committees/ Working Groups reporting to the Audit & Quality Committee.
Previous GB / Committee/s, Dates	N/A

Monitoring and Assurance Summary

This report links to the following Strategic Principles	<ul style="list-style-type: none"> • Services designed around people • Preventing ill health and reducing inequalities • Sustainable healthcare services • Enabling care closer to home 		
	Yes [e.g. ✓] <i>Copy & paste tick</i>	Any action required?	
		Yes Detail in report	No
All three Domains of Quality (Safety, Quality, Patient Experience)	✓		✓
Board Assurance Framework Risk Register	✓		✓
Budgetary Impact	✓		✓
Legal/Regulatory	✓		✓
People/Staff	✓		✓
Financial/Value for Money/Sustainability	✓		✓
Information Management & Technology	✓		✓
Equality Impact Assessment	✓		✓
Freedom of Information	✓		✓
I confirm that I have considered the implications of this report on each of the matters above, as indicated	✓		

Initials: AD

1. Introduction

- 1.1 Following a request from the Audit and Quality Committee, a review of the GBAF format has been undertaken to ensure the document is fit for purpose. The revised document is attached as Appendix 1. This new format reflects the need for the document to be more functional and approachable.
- 1.2 At this time, the new format uses the same content as the previous version. Further work is ongoing to review the relevance and effectiveness of the key controls themselves to ensure they are both current and forward looking.
- 1.3 The controls for each of the strategic objectives are now listed in order of where they are presented which has eliminated the need for repetition throughout the document. This significantly reduces the amount of time it takes the Patient Safety & Risk team to confirm that each source of assurance is present.
- 1.4 A report will be prepared for the Audit and Quality Committee in February 2017, detailing further amendments to be made to the report, as a result of feedback received from that Committee.
- 1.5 The framework is linked to the strategic objectives of the organisation and serves as a source of external assurance for the NHS England – South (Wessex) quarterly assurance processes.
- 1.6 The Directors' Performance meeting has operational responsibility for maintaining the Governing Body Assurance Framework with support from the Patient Safety and Risk team.

2. Gaps in Controls and Assurances

- 2.1 There are currently no identified gaps in existing controls.

3. Recommendation

- 3.1 The Governing Body meeting is asked to note the Governing Body Assurance Framework format and contents.

Author's name and Title : Annie Dale, Patient Safety and Risk Manager

Date : 03 January 2017

Telephone Number : 01305 368047

APPENDICES	
Appendix 1	Reformatted CCG Governing Body Assurance Framework