

**NHS DORSET CLINICAL COMMISSIONING GROUP**  
**GOVERNING BODY MEETING**  
**ASSURANCE FRAMEWORK REPORT**

<b>Date of the meeting</b>	15/03/2017
<b>Author</b>	A Dale, Patient Safety and Risk Manager
<b>Sponsoring Clinician</b>	Dr S Yule, Locality Chair for North Dorset
<b>Purpose of Report</b>	The report provides the Governing Body Assurance Framework for members to consider.
<b>Recommendation</b>	The Governing Body is asked to <b>note</b> the assurance framework contents.
<b>Stakeholder Engagement</b>	The Assurance Framework is maintained with the involvement of all Directorates. Oversight is provided by Lay Members of the Governing Body and Sub-Committees/Working Groups reporting to the Audit & Quality Committee
<b>Previous GB / Committee/s, Dates</b>	N/A

**Monitoring and Assurance Summary**

<b>This report links to the following Strategic Principles</b>	<ul style="list-style-type: none"> <li>• Services designed around people</li> <li>• Preventing ill health and reducing inequalities</li> <li>• Sustainable healthcare services</li> <li>• Enabling care closer to home</li> </ul>		
	<b>Yes</b> [e.g. ✓] <i>Copy &amp; paste tick</i>	<b>Any action required?</b>	
		<b>Yes</b> Detail in report	<b>No</b>
All three Domains of Quality (Safety, Quality, Patient Experience)	✓		✓
Board Assurance Framework Risk Register	✓		✓
Budgetary Impact	✓		✓
Legal/Regulatory	✓		✓
People/Staff	✓		✓
Financial/Value for Money/Sustainability	✓		✓
Information Management & Technology	✓		✓
Equality Impact Assessment	✓		✓
Freedom of Information	✓		✓
<b>I confirm that I have considered the implications of this report on each of the matters above, as indicated</b>	✓		

Initials: AD

## 1. Introduction

- 1.1 Following a request from the Audit and Quality Committee, a review of the GBAF format has been undertaken to ensure the document is fit for purpose. The revised document is attached as appendix 1. The revised format reflects the need for the document to be more functional and approachable.
- 1.2 A report was submitted to the Audit and Quality Committee in February 2017 requesting feedback on the new version and discussion of any further amendments which may be required.
- 1.3 Feedback from the new version report has been overwhelmingly positive. More detailed comment is anticipated during the internal audit process due to take place in March 2017 but informal discussion has so far been positive.
- 1.4 Discussions have taken place at Director level regarding the content, and some minor amendments have been made. This includes sources of assurance relating to the CSR, in addition to the inclusion of evaluations in future reports where gaps are identified as to the level of risk represented by such gaps.
- 1.5 The framework is linked to the strategic objectives of the organisation and also serves as a source of external assurance for the NHS England – South (Wessex) quarterly assurance processes.
- 1.6 The Directors' Performance meeting has operational responsibility for maintaining the Governing Body Assurance Framework with support from the Patient Safety and Risk team.

## 2. Gaps in Controls and Assurances

- 2.1 There are currently no identified gaps in existing controls.

## 3. Recommendation

- 3.1 The Governing Body is asked to note the Governing Body Assurance Framework format and contents.

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**Date :** 27 February 2017

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<b>APPENDICES</b>	
<b>Appendix 1</b>	<b>Reformatted CCG Governing Body Assurance Framework</b>