

**NHS DORSET CLINICAL COMMISSIONING GROUP**  
**GOVERNING BODY MEETING**  
**ANNUAL OPERATING PLAN 2016/17**

<b>Date of the meeting</b>	16/03/2016
<b>Author</b>	R Kendall, Head of Assurance and Engagement
<b>Sponsoring Clinician</b>	Dr F Watson, Chair
<b>Purpose of Report</b>	The purpose of the report is to present to members the Annual Operating 2016/17 which has been developed in line with national guidance and feedback from NHS England.
<b>Recommendation</b>	The Governing Body is asked to <b>approve</b> the plan.
<b>Stakeholder Engagement</b>	The Annual Operational Plan 2016/17 has been informed by information received from Directors, Deputy Directors, Heads of Service relevant leads across the CCG in liaison with their clinical or director lead.
<b>Previous GB / Committee/s, Dates</b>	Directors' meeting – 07/03/16

**Monitoring and Assurance Summary**

<b>This report links to the following Strategic Principles</b>	<ul style="list-style-type: none"> <li>• Services designed around people</li> <li>• Preventing ill health and reducing inequalities</li> <li>• Sustainable healthcare services</li> <li>• Care closer to home</li> </ul>		
	<b>Yes</b> [e.g. ✓]	<b>Any action required?</b>	
		<b>Yes</b> Detail in report	<b>No</b>
All three Domains of Quality (Safety, Quality, Patient Experience)	✓		✓
Board Assurance Framework Risk Register	✓		✓
Budgetary Impact	✓		✓
Legal/Regulatory	✓		✓
People/Staff	✓		✓
Financial/Value for Money/Sustainability	✓		✓
Information Management & Technology	✓		✓
Equality Impact Assessment	✓		✓
Freedom of Information	✓		✓
<b>I confirm that I have considered the implications of this report on each of the matters above, as indicated</b>	✓		

Initials :RJK

## 1. Introduction

1.1 This paper provides detailed response to the national planning guidance 'Delivering the Forward View: NHS Planning Guidance 2016-17 to 2020-21' published in December 2015, and the subsequent technical guidance set out setting out the steps to help local organisations deliver a sustainable, transformed health service and improve the quality of care, wellbeing and NHS finances .

1.2 The purpose of this paper is to:

- Provide an overview of the national requirements;
- Present the CCG Annual Operating Plan 2016/17;
- Provide an update on the development of Dorset's Sustainability and Transformation Plan;
- Seek approval of the plan.

## 2. Report

### National Requirements

2.1 The planning guidance was jointly produced by six national NHS bodies with a clear set of national priorities for 2016/17 and longer term system wide priorities. The six national bodies are:

- NHS England;
- NHS Improvement (NHS Trust Development Agency and Monitor);
- Care Quality Commission;
- Public Health England;
- Health Education England;
- National Institute for Health and Care Excellence.

2.2 The NHS is required to produce two separate but connected plans as follows:

- A five year Sustainability and Transformation Plan (STP), place based and driving the Five Year Forward View; and
- A one year organisation based Operational Plan for 2016/17, consistent with the emerging STP.

2.3 For 2016/17 the guidance sets on 9 'must dos' which are as follows:

- Development of a high quality Sustainability and Transformation Plan (STP);
- Return the system to aggregate financial balance;
- Develop and implement local plan to address sustainability and quality of general practice;
- Achieve access standards for A&E and ambulance waits;
- Improve and maintain 18 week Referral to Treatment targets;
- Deliver 62 day cancer waiting standard and two week and 31 day standards;
- Achieve and maintain the two new mental health standards:
  - \* 50% of people experiencing 1<sup>st</sup> episode psychosis commence treatment in 2 weeks;
  - \* 75% of people with a common mental health condition will be treated in 6 weeks of referral 95% 18 weeks); and
  - \* Continue to meet dementia diagnosis rate at least 66% of people with dementia;
- Deliver actions set out in local plans to transform care for people with learning disabilities;
- Develop and implement an affordable plan to make improvements in quality.

2.4 It also sets out a number of business rules which can be seen in the table below.

Business Rule	CCG	Specialised Commissioning	Public Health	Other Direct Commissioning
Minimum cumulative underspend	1%	0%	0%	1%
Contingency	Minimum 0.5%			
Non-recurrent spend	1%	0%	0%	1%
Management costs	Remain within admin allocation	N/A	N/A	N/A
Quality premium	Must be applied to programme spend	N/A	N/A	N/A
Strategic planning	Completion and sign off required to secure growth funding			
NHS Constitution commitments met as applicable eg Mental Health Minimum Investment, Better Care Fund contributions				
National contract & PbR rules applied in full including sanctions, MRET and readmissions.				
Transparency	Provide information on source & use of sanctions, MRET etc to relevant stakeholders			

### Dorset CCG Annual Operating Plan 2016/17

- 2.5 The Annual Operating Plan 2016/17 has been developed to reflect and support the delivery the vision set out the Five Year Forward View and the developing STP.
- 2.6 The information contained within the plan has been provided by Deputy Directors and Heads of Service linking with their respective Clinical Delivery Group GP Chair and director lead.
- 2.7 The content of the Operating Plan has been refreshed and continues to focus on the following areas:
- Transforming healthcare in Dorset, including:
    - \* CSR;
    - \* Development of the STP;
    - \* New Models of Care (Dorset's Vanguard programmes);
    - \* Delivering Dorset Vision 2020;
    - \* Better Care Fund;
  - Systems Resilience and Urgent Care;
  - Primary Care;
  - Improving Health and Wellbeing;
  - Improving Quality, Safety and Patient Experience;
  - Clinical Delivery Group Priorities;

- Financial Sustainability;
- Engaging our Communities;
- Organisational Development.

- 2.8 We are still awaiting national guidance on both Quality Premiums and CQUIN scheme, which was due in January 2016. We have been advised by NHS England that this will not be published until mid-March 2016; therefore our plan will take account of any final adjustments once received. In addition to this, the guidance for the Better Care Fund was delayed and funding allocations for workstreams are still being discussed and subject to final agreement.
- 2.9 The draft operating plan was submitted to NHS England (Wessex) on 8 February 2016, and was updated following feedback for the second submission on 2 March 2016. We are now awaiting final comments from NHS England (due 15 March 2016) and the additional guidance as set out in paragraph 2.8, therefore members are advised that will be amendments to this plan following the Governing Body meeting. The final submission to NHS England of the Annual Operating Plan is on 11 April 2016.

## **Sustainability and Transformation Plan- Progress Update**

- 2.10 In response to the planning requirement (paragraph 2.3) we have been working with partners to develop a single system wide Sustainability and Transformation Plan (STP) for Dorset. This builds on the engagement undertaken over the last 12 months, includes further and closer involvement with Local Authorities as the Better Together Programme transitions to main-stream working with our providers, including utilisation of the Acute Vanguard and Integrated Community Services workplans and sustained input into the enabling workstreams such as the Dorset Care Record. Key progress to date includes:
- agreed the transformation footprint and system leaders (Tim Goodson);
  - established interim governance and system leadership arrangements through the Chief Executive Officers Group- effective from 1 April 2016;
  - developed a draft system wide vision;
  - established a STP planning group consisting of senior managers led by the CCG, and an Editorial Board which will lead the development of the narrative and is overseen by Dr David Phillips, Director of Public Health Dorset. Both these groups have already met and agreed an overarching project plan and timeframes;
  - we have been engaging with both Health and Wellbeing Boards.
- 2.11 The Dorset STP will pull together a number of existing workstreams already identified through the CSR and existing partnership programmes including Vanguards and Better Together.

2.12 The timeline for delivery of the STP to NHSE is as follows:

- 11 April 2016 – short submission to include priorities, gaps, and governance arrangement;
- 18 May 2016 - Governing Body endorsement sought;
- 30 June 2016- final submission of STP;
- July 2016 - feedback on STPs.

### **3. Conclusion**

3.1 Governing Body members are asked to:

- a) note this report including the update on the development of the STP;
- b) to approve the Annual Operating Plan 2016/17, subject to any comments from NHS England and the finalisation of the Better Care Fund, local Quality Premiums and local CQUIN schemes.

**Author's name and Title : R Kendall, Head of Assurance and Engagement**

**Date : 03 March 2016**

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<b>APPENDICES</b>	
<b>Appendix 1</b>	<b>Operational Plan 2016-17</b>